

# On some Morrey regularity results for minimizers of variational integrals

Maria Alessandra Ragusa

Department of Mathematics and Computer Science,  
University of Catania, Italy

**Ahi Evran Universitesi, Kirsehir, July 11th, 2017**

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$$\int_{\Omega} A(x, u, Du) dx,$$

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Both **partial** and **global** regularity of the minimizer  $u$  are studied.

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where  $B(x, \rho)$  ranges in the class of the balls centered in  $x$  with radius  $\rho$ .

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Let  $f \in L^1(\Omega)$  we set the integral mean  $f_{x,R}$  by

$$f_{x,R} = \frac{1}{|\Omega \cap B(x,R)|} \int_{\Omega \cap B(x,R)} f(y) dy$$

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If we are not interested in specifying which the center is, we only set  $f_R$ .

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Let us recall the definition of the space of Vanishing Mean Oscillation functions, by Sarason in

“On functions of vanishing mean oscillation”, T.A.M.S., 1975.

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Let  $f \in BMO(\mathbb{R}^m)$  and

$$\eta(f, R) = \sup_{\rho \leq R} \frac{1}{|B_\rho|} \int_{B_\rho} |f(y) - f_\rho| dy$$

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A function  $f \in VMO(\mathbb{R}^n)$  if

$$\lim_{R \rightarrow 0} \eta(f, R) = 0.$$

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Poincaré's inequality:

$$\int_B |f(x) - f_B| \leq c(m) \left( \int_B |\nabla u| dx \right)^{\frac{1}{m}}$$

and observing that the term on the right-hand side tends to zero as  $|B| \rightarrow 0$ .

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**Interior estimates** obtained by Chiarenza, Frasca and Longo were extended to **boundary estimates**. Later, many authors have used this space  $VMO$  to obtain regularity results for **P.D.E. and systems** with discontinuous coefficients. With this useful  $VMO$  assumption we investigate the regularity of the **minimizers for quadratic functionals**.

At first, let us talk about some **estimates in Morrey Spaces** for the derivatives of “**local minimizers**” of variational integrals of the form

$$\mathcal{A}(u, \Omega) = \int_{\Omega} F(x, u, Du) dx$$

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We are **not assuming the continuity** of  $A$  and  $g$  with respect to  $x$ .

A “**local minimizer**” of the functional  $\mathcal{A}$  is a function  $u \in W_{loc}^{1,p}(\Omega, \mathbb{R}^n)$  which satisfies

$$\mathcal{A}(u; \text{supp } \varphi) \leq \mathcal{A}(u + \varphi; \text{supp } \varphi)$$

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“Partial regularity results for nonlinear elliptic systems”, J. Math. and Mech., 1969;

Giusti in

“Regolarità parziale delle soluzioni di sistemi ellittici quasi lineari di ordine arbitrario”, Ann. Sc. Norm. Sup. Pisa, 1969;

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**New perturbation arguments**

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**New perturbation arguments** are later considered by Giaquinta and Giusti in

“Partial regularity for the solution to nonlinear parabolic systems”, Ann. Mat. Pura Appl. 1973;

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$$F(x, u, Du) = A(x, u, g^{\alpha\beta}(x) h_{ij}(u) D_{\alpha} u^i D_{\beta} u^j).$$

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consider the quadratic functionals

$$\int_{\Omega} g^{\alpha\beta}(x) h_{ij}(u) D_{\alpha} u^i D_{\beta} u^j dx$$

where  $g^{\alpha\beta}$  and  $h_{ij}$  are symmetric positive definite matrices having smooth coefficients.

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Giaquinta and G. Modica in "Partial regularity of minimizers of quasiconvex integrals", Ann. Inst. H. Poincaré 1986,

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$$\int_{\Omega} \left\{ A_{ij}^{\alpha\beta}(x, u) D_{\alpha} u^i D_{\beta} u^j + g(x, u, Du) \right\} dx,$$

where  $\Omega \subset \mathbb{R}^m$ ,  $m \geq 3$ , is a bounded open set,  $u: \Omega \rightarrow \mathbb{R}^n$ ,  $n > 1$ ,

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We should mention that since  $C^0$  is a **proper subset** of  $VMO$ , the **continuity of  $A_{ij}^{\alpha\beta}(x, u)$**  with respect to  $x$  is **not assumed**.

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3. For every  $x \in \Omega$  and  $u, v \in \mathbb{R}^n$ ,

$$|A_{ij}^{\alpha\beta}(x, u) - A_{ij}^{\alpha\beta}(x, v)| \leq \omega(|u - v|^2)$$

for some monotone increasing concave function  $\omega : \omega(0) = 0$ .

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4. There exists  $\nu > 0$  :

$$\nu|\xi|^2 \leq A_{ij}^{\alpha\beta}(x, u)\xi_\alpha^i \xi_\beta^j$$

for a.e.  $x \in \Omega$ , for all  $u \in \mathbb{R}^n$  and  $\xi \in \mathbb{R}^{mn}$ .

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Moreover,  $g$  satisfies the condition:

(g3)

$$|g(x, u, z)| \leq g_1(x) + H|z|^\gamma,$$

$g_1 \geq 0$  a. e. in  $\Omega$ ,  $g_1 \in L^p(\Omega)$ ,  $2 < p \leq \infty$ ,  $H \geq 0$ ,  
 $0 \leq \gamma < 2$ .

## Theorem (RT London Math. Soc., 2005)

*Let  $\Omega \subset \mathbb{R}^m$ ,  $m \geq 3$ , bounded open set,  $u \in W^{1,2}(\Omega, \mathbb{R}^n)$  be a minimum of the functional above defined. Suppose that assumptions on  $A_{ij}^{\alpha\beta}(x, u)$  and  $g(x, u, Du)$  are satisfied.*

## Theorem (RT London Math. Soc., 2005)

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As a consequence, for  $\alpha \in (0, 1)$ ,

$$u \in C^{0,\alpha}(\Omega_0).$$

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In **1968 De Giorgi** showed that his regularity result for solutions of second order elliptic equations with measurable bounded coefficients **cannot be extended** to solutions of elliptic systems. He presented the quadratic functional

$$\mathcal{S} = \int_{\Omega} A_{ij}^{\alpha\beta}(x) D_{\alpha} u^i D_{\beta} u^j dx$$

with  $A_{ij}^{\alpha\beta} \in L^{\infty}(\Omega)$ , such that

$$\exists \nu > 0: A_{ij}^{\alpha\beta} \chi_{\alpha}^i \chi_{\beta}^j \geq \nu |\chi|^2 \text{ a.e. } x \in \Omega, \forall \chi \in \mathbb{R}^{nN}.$$

De Giorgi proves that  $\mathcal{S}$  has a minimizer that is a function having a **point of discontinuity** in the origin.

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Modifying De Giorgi's example, Giusti and Miranda in 1968 showed that solutions of the quasilinear elliptic systems

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linear elliptic systems with coefficients in the class **VMO**.



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being  $f \in L^p(\Omega)$ ,  $2 < p \leq \infty$ ,  $f \geq 0$  a.e. on  $\Omega$ ,  $L \geq 0$ ,  $0 \leq \gamma < 2$ .

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These results are contained in the paper  
A. Tachikawa and R. J. Math. Soc. Japan, 2005  
where, we set  $\Omega \subset \mathbb{R}^m$  a domain,

$$\mathcal{A}(u, \Omega) = \int_{\Omega} A(x, u, Du) dx,$$

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(A-1) For every  $(u, p) \in \mathbb{R}^n \times \mathbb{R}^{mn}$ ,  $A(\cdot, u, p) \in VMO(\Omega)$  and the mean oscillation of  $A(\cdot, u, p)/|p|^2$  vanishes uniformly with respect to  $u, p$  in the following sense:

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(A-4) There exist  $\mu_1 \geq \mu_0 > 0$  :

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## Theorem (RT J. Math. Soc. Japan, 2005)

*Let  $u \in W^{1,2}(\Omega, \mathbb{R}^n)$  be a local minimizer of the functional  $\mathcal{A}(u, \Omega)$ . Suppose (A-1)-(A-4) be true. Then, for every  $0 < \lambda < \min\{2 + \varepsilon, m\}$ , for some  $\varepsilon > 0$ ,*

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$$\mathcal{A}(u; \Omega) := \int_{\Omega} F(x, u, Du) dx$$

where  $\Omega$  is a domain of  $\mathbb{R}^m$  and the integrand

$F(x, u, \xi) : \Omega \times \mathbb{R}^n \times \mathbb{R}^{mn}$  is  $p$ -growth,  $p \geq 2$ , namely, for some  $0 < \lambda_0 < \Lambda_0$  and  $\mu \in \mathbb{R}$ ,  $F$  satisfies

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and is showed a partial regularity result which holds even if  $\mu = 0$ . Let us now state both the results and give an idea of the proof only for the second case  $\mu = 0$  ([RT DCDS, 2011]).

General but non-degenerate case [RT ZAA, 2008].

(F-1)  $\exists \Lambda_1 > \lambda_1 > 0, \exists \mu \neq 0 : \forall (x, u, \xi, \eta) \in \Omega \times \mathbb{R}^n \times \mathbb{R}^{mn} \times \mathbb{R}^{mn},$

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(F-2) for every  $(u, \xi) \in \mathbb{R}^n \times \mathbb{R}^{mn}$ ,  $F(\cdot, u, \xi) \in VMO(\Omega)$  and the mean oscillation of  $F(\cdot, u, \xi)/(\mu^2 + |\xi|^2)^{2/p}$  vanishes uniformly with respect to  $u, \xi$  in the following sense:

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(F-4) for almost all  $x \in \Omega$  and all  $u \in \mathbb{R}^n$ ,  $F(x, u, \cdot) \in C^2(\mathbb{R}^{mn})$ .

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Moreover,  $\mathcal{H}^{m-p-\delta}(\overline{\Omega} \setminus \Omega_0) = 0$  for some  $\delta > 0$ .

As a corollary of the above theorem we have the following partial Hölder regularity result.

### Corollary

*Let  $g$ ,  $u$  and  $\Omega_0$  be as in previous Theorem. Assume that  $p + 2 \geq m$  and that  $s > \max\{m, p\}$ .*

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Moreover, as a corollary of the proof of the previous Theorem we have the following full-regularity result for the case that  $F$  does not depend on  $u$ .

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*Moreover, if  $p + 2 \geq m$  and  $s > \max\{m, p\}$ , we have full-Hölder regularity of  $u$*

$$u \in C^{0,\alpha}(\bar{\Omega}, \mathbb{R}^n).$$

Degenerate case [RT DCDS, 2011].

Let us set  $\mu \geq 0$ ,  $p \geq 2$ .

Let the integrand function  $A(x, u, t)$  be defined on  $\Omega \times \mathbb{R}^n \times \mathbb{R}^{mn}$ , in the sequel we assume that it satisfies the following assumptions.

(A-1) There exist positive constants  $C, \lambda, \Lambda, \lambda \leq \Lambda$  such that

$$\lambda(\mu^2 + t)^{\frac{p}{2}} \leq A(x, u, t) \leq \Lambda(\mu^2 + t)^{\frac{p}{2}},$$

$$\lambda(\mu^2 + t)^{\frac{p}{2}-1} \leq |A_t(x, u, t)| \leq \Lambda(\mu^2 + t)^{\frac{p}{2}-1},$$

$$\lambda(\mu^2 + t)^{\frac{p}{2}-2} \leq A_{tt}(x, u, t) \leq \Lambda(\mu^2 + t)^{\frac{p}{2}-2},$$

for all  $(x, u, t) \in \Omega \times \mathbb{R}^n \times \mathbb{R}^{mn}$ .

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such that  $A(\cdot, u, t)$  satisfies,

$$|A(y, u, t) - A_{x, \rho}(u, t)| \leq \sigma(x - y, \rho)(\mu^2 + |t|)^{\frac{p}{2}},$$

for all  $(u, t) \in \mathbb{R}^n \times \mathbb{R}^{mn}$ ,  $\forall x \in \Omega$  and  $y \in Q(x, \rho_0) \cap \Omega$ ;



(A-3) For every  $x \in \Omega$ ,  $t \in \mathbb{R}^{mn}$  and  $u, v \in \mathbb{R}^n$ ,

$$|A(x, u, t) - A(x, v, t)| \leq \omega(|u - v|^2)(\mu^2 + t)^{\frac{p}{2}};$$

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(A-4) For almost all  $x \in \Omega$  and all  $u \in \mathbb{R}^n$ .  $A(x, u, \cdot) \in C^2(\mathbb{R}^{mn})$ ,  
and  $A_{tt}$  satisfies, for some  $\alpha > 0$ ,

$$|A_{tt}(x, u, t)t - A_{tt}(x, u, s)s| \leq c(\mu^2 + t + s)^{\frac{p-2}{2}-\alpha}|t - s|^\alpha;$$

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for all  $x \in \Omega$ ,  $u, \zeta \in \mathbb{R}^m$  and  $\eta \in \mathbb{R}^n$ ;

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## Theorem (RT DCDS, 2011)

Let  $\Omega \subset \mathbb{R}^m$  be a bounded domain with sufficiently smooth boundary  $\partial\Omega$ . Let also  $u \in W^{1,p}(\Omega, \mathbb{R}^n)$ ,  $p \geq 2$ , be a minimizer of the functional

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$$\mathcal{A}_0(u) = \int_{Q(R)} A_0(Du) dx = \int_{Q(R)} A_R(u_R, g_R h(u_R) Du Du) dx.$$

Let also  $v \in H^{1,p}(Q(R))$  be a minimizer of  $\mathcal{A}_0(\mathcal{V}, Q(R))$  in the set of functions

$$\{\mathcal{V} \in H^{1,p}(Q(R)) ; u - \mathcal{V} \in H_0^{1,p}(Q(R))\}$$

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In the sequel we use a regularity theorem by Uhlenbeck, (*Acta Math.* 1977), for minimizers of functionals of the form

$$\mathcal{F}(v) = \int F(Dv) dx$$

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according to it, for  $r < \frac{R}{2}$ , we have :

$$\int_{Q(R)} H(Dv) dx \leq c \left(\frac{r}{R}\right)^m \int_{Q_{R/2}} H(Dv) dx$$

where  $c$  does not depend on  $r, R, x_0$ .



Using a formula contained in the note by Giaquinta and Modica in *Manusc. Math.* 1986 , we have

$$\int_{Q(R)} |Dw|^p dx \leq \{ \mathcal{A}_0(u) - \mathcal{A}_0(v) \}$$

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$$\begin{aligned} \int_{Q(R)} |Dw|^p dx &\leq c \int_{Q(R)} H(Du) dx \left[ \left( \int_{Q(R)} \sigma(x - x_0, R)^{q'} dx \right)^{\frac{1}{q'}} \right. \\ &+ \left( \int_{Q(R)} \omega(|u_R - u|^2)^{q'} dx \right)^{\frac{1}{q'}} + \left( \int_{Q(R)} \omega(|u_R - v|^2)^{q'} dx \right)^{\frac{1}{q'}} \\ &\left. + \left( \int_{Q(R)} |g_R - g(x)|^{q'} dx \right)^{\frac{1}{q'}} \right] \end{aligned}$$

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 &+ \left( \int_{Q(R)} \omega(|u_R - u|^2)^{q'} dx \right)^{\frac{1}{q'}} + \left( \int_{Q(R)} \omega(|u_R - v|^2)^{q'} dx \right)^{\frac{1}{q'}} \\
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Let us use the above mentioned regularity theorem by Uhlenbeck in the first part of I, in II and III Hölder, Jensen and Poincaré inequality and in IV the assumption on  $g$ ,

we have

$$\int_{Q(R)} |Du|^p dx \leq C \left\{ \left( \frac{r}{R} \right)^\lambda + \left( \int_{Q(R)} \sigma(x, R) dx \right)^{\frac{q-1}{q}} + \right. \\ \left. + \omega \left( R^{p-m} \int_{Q(R)} |Du|^p dx \right)^{\frac{q-1}{q}} + \eta(g, R) \right\} \cdot \int_{Q(2R)} H(Du) dx.$$

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$$\int_{B(R)} \sigma(x, R) dx \rightarrow 0, \quad \eta(g, R) \rightarrow 0 \quad \text{as } R \rightarrow 0.$$

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We conclude using “A useful lemma” and another result contained in the book “Multiple integrals in the calculus of variations and nonlinear elliptic systems”, Annals of Math. Studies Princeton Univ. Press 1983 by Giaquinta.

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$$\mathcal{F}(u) = \int_{\Omega} (g^{\alpha\beta}(x) h_{ij}(u) D_{\alpha} u^i D_{\beta} u^j)^{p(x)/2} dx,$$

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$$\lambda_g |\zeta|^2 \leq g^{\alpha\beta}(x) \zeta_\alpha \zeta_\beta \leq \Lambda_g |\zeta|^2, \quad \lambda_h |\eta|^2 \leq h_{ij}(u) \eta^i \eta^j \leq \Lambda_h |\eta|^2$$

for all  $x \in \Omega$ ,  $\zeta \in \mathbb{R}^m$  and  $u, \eta \in \mathbb{R}^n$ .

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$$\lambda_g |\zeta|^2 \leq g^{\alpha\beta}(x) \zeta_\alpha \zeta_\beta \leq \Lambda_g |\zeta|^2, \quad \lambda_h |\eta|^2 \leq h_{ij}(u) \eta^i \eta^j \leq \Lambda_h |\eta|^2$$

for all  $x \in \Omega$ ,  $\zeta \in \mathbb{R}^m$  and  $u, \eta \in \mathbb{R}^n$ .

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(C3)  $2 \leq \gamma_1 := \inf_{x \in \Omega} p(x) \leq \sup_{x \in \Omega} p(x) =: \gamma_2 < +\infty.$

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For locally bounded minimizers, the  $C^{1,\alpha}$  result contained in [RTT, T.A.M.S., 2013] has been improved as

$$\dim_{\mathcal{H}}(\Omega \setminus \Omega_0) < m - [\gamma_1] - 1,$$

where  $[ \ ]$  stands for the Gauss symbol, by  
A. Tachikawa in *Calculus of Variations and P.D.E.*, 2014.

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In [RTT T.A.M.S., 2013] the technique to obtain higher integrability properties for local minimizers employs a direct approach as well as classical inequalities by Jensen and Poincaré. In [RT Nonlinear Analysis, 2013] and [RT AIHP, 2016] we gain the results by contradiction.